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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

65568/ENCMP

First Inventor

MICHAEL M. MANN, ET AL.

Title

BUSINESS ANALYSIS.....ENTERPRISE METRICS

Express Mail Label

EV130092122US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria VA 22313-1450

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages **16**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **12**]

5. Oath or Declaration

[Total Pages **1**]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☒ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: Exhibits A-H including CD-ROM in duplicate;
Correction of Spelling of Inventor's Name.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number:

24201

or ☐ Correspondence address below

Name

Gilbert G. Kovelman, Esq.

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Gilbert G. Kovelman

Registration No. (Attorney/Agent)

19,552

Signature

Gilbert G. Kovelman

Date

October 3, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

16367 U.S. PTO

100303

22387 U.S. PTO
10/679255

100303

TRANSMITTAL LETTER
Metrics
Encompass Knowledge Systems, Inc.

This transmittal letter accompanies two copies of a compact disc containing the EnCompass® program, patent application, and other pertinent information. Both CD's are identical.

The following hardware requirements must be met in order to successfully run the software contained on the CD:

- IBM PC or PC compatible computer
- MS Windows NT 4.0, Windows 2000, or Windows XP operating system
- MS Internet Explorer 5.0 or higher
- Pentium CPU
- Minimum 32 MB RAM
- Minimum 100 MB available disk space, for program and local database
- Display adapter and monitor capable of 256 colors at resolution 1024 X 768

Compact Disk Machine Format: IBM-PC

Operating System Compatibility: MS Windows NT 4.0, Windows 2000, or Windows XP operating system with MS Internet Explorer 5.0 or higher.

File Location	File Name	Size in Bytes	Date of Creation	Notes
<root>	_INST321.EX_	297,989 bytes	October 2, 1998	
<root>	_ISDel.exe	27,648 bytes	October 2, 1998	
<root>	_Setup.dll	34,816 bytes	September 29, 1998	
<root>	_sys1	181,565 bytes	September 6, 2002	
<root>	_sys1.hdr	3,905 bytes	September 6, 2002	
<root>	_user1	22,973 bytes	September 6, 2002	
<root>	_user1.hdr	4,510 bytes	September 6, 2002	
<root>	Autorun	25 bytes	October 22, 2000	This causes the CD to automatically begin the installation process upon inserting the CD-ROM.
<root>	DATA.TAG	126 bytes	September 6,	

EXHIBIT G

Title: Business Analysis & Management Systems
Utilizing Enterprise Metrics

Inventors: Michael M. Mann & Arne Haugland
Attys.: Fulwider Patton et al. Dkt. # 65568/ENCMP

			2002	
<root>	data1.cab	28,565,188 bytes	September 6, 2002	
<root>	data1.hdr	11,040 bytes	September 6, 2002	
<root>	DCA-readme.txt	3,054 bytes	August 12, 2002	Read this file prior to installing the product.
<root>	Encdb_5.log	2,162,688 bytes	July 18, 2002	Database log installed with database.
<root>	EncLocal.db	86,253,568 bytes	July 18, 2002	Database installed for program.
<root>	lang.dat	4,679 bytes	September 18, 1998	
<root>	layout.bin	650 bytes	September 6, 2002	
<root>	os.dat	450 bytes	July 27, 1998	
<root>	setup.bmp	165,400 bytes	July 9, 2002	
<root>	Setup.exe	71,680 bytes	October 2, 1998	Double-click this icon to begin the setup process if it does not begin automatically or if you need to restart the process for some reason.
<root>	Setup.ini	90 bytes	September 6, 2002	
<root>	setup.ins	63,374 bytes	July 20, 2002	
<root>	setup.lid	49 bytes	September 6, 2002	
<root>	vssver.scc	64 bytes	July 9, 2002	
<root>\DCA Source	aaf.C	11,020 bytes	August 9, 2002	All files in the DCA folder may be viewed using MS Word or a text viewer. These are source code files for the data collection algorithm.

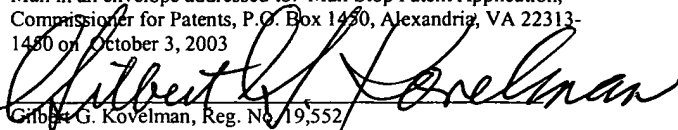
<root>\DCA Source	aaf.H	2,843 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	aafcls.H	2,985 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	aafmac.H	2,222 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	aafrd1.C	26,358 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	aafrd2.C	26,435 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	aafsql.C	5,328 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ANR.C	145,875 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ANR.H	6,368 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ANRCLS.H	10,219 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ANRMAC.H	41,842 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dca.C	64,650 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dca.H	2,822 bytes	August 9, 2002	Source code file for the data

				collection algorithm.
<root>\DCA Source	dcabd1.C	9,500 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dcabd2.C	9,524 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dcacIs.H	3,924 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dcamac.H	1,878 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dcasql.C	7,769 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	die.C	6,916 bytes	August 9, 2002	Source code file for the data collection algorithm.
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<root>\DCA Source	Diecls.H	1,807 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	diemac.H	1,885 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	DIR.C	102,326 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	DIR.H	4,683 bytes	August 9, 2002	Source code file for the data collection algorithm.

<root>\DCA Source	DIRCLS.H	4,644 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	DIRMAC.H	28,085 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ISR.C	22,396 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ISR.H	2,083 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ISRCLS.H	2,314 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ISRMAC.H	4,719 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	TBF.H	2,856 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	TBFCLS.H	12,222 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	TBFMAC.H	2,599 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	tbfmtric.C	31,417 bytes	August 9, 2002	Source code file for the data collection algorithm.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 3, 2003


Gilbert G. Kovelman, Reg. No. 19,552

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Inventors: Mann et al.

Serial No: Unknown

Filed: October 3, 2003

For: BUSINESS ANALYSIS AND
MANAGEMENT SYSTEMS
UTILIZING ENTERPRISE
METRICS

Examiner: Unknown

Group Art Unit: Unknown

Confirmation No: N/A

Docket No: 65568/ENCMP

Date: October 3, 2003

CORRECTION OF
SPELLING OF INVENTOR'S NAME

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

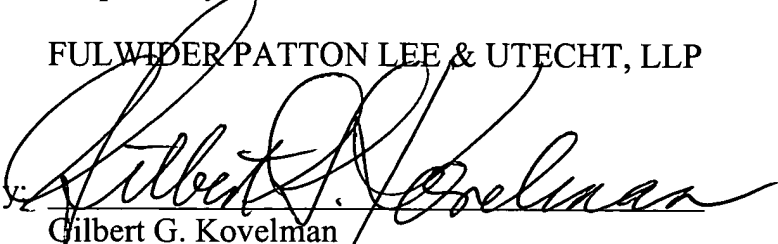
The joint inventor's name (Arnold Haughlind) was inadvertently misspelled in the U.S. provisional application 60/417,098 upon which the above-identified application is based. The joint inventor remains the same, only the spelling of the inventor's name has

been corrected in the present application. The correct spelling of the inventor's name is
"Arne Haugland."

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:


Gilbert G. Kovelman

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